

COPY OF PAPERSORIGINALLY FILED

MET1.0025 PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bentolila, et al.)	
)	
For: SYSTEM, METHOD, AND SOFTWARE)	
APPLICATION FOR TARGETED)	
ADVERTISING VIA BEHAVIORAL)	
MODEL CLUSTERING, AND)	
PREFERENCE PROGRAMMING BASED)	•
ON BEHAVIORAL MODEL CLUSTER)	
)	
Application No.: 10/043,714)	
•)	July 15, 2002
Filed: January 9, 2002)	•
• ')	Los Angeles, California 90067

DECLARATION OF KENNETH L. SHERMAN AND STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF NON-SIGNING INVENTOR UNDER 37 CFR 1.47(a)

Assistant Commissioner for Patents Washington, D.C. 20231

- I, Kenneth L. Sherman, Esq. hereby declare that the following is true and correct, and if called upon could and would testify to the following:
- 1. I am an attorney licensed to practice law, in good standing and residing in the State of California.
- 2. I am the patent attorney for Metabyte Networks, Inc. (hereinafter "MNI"), the owner by agreement of all rights, title and interest to the above-referenced United States Patent Application.

- MNI is a Delaware Corporation, licensed to do business in the State of California and having its principal place of business at 39350 Civic Center Drive, Suite 100, Fremont, California 94538.
- 4. On behalf of MNI, I communicated with Mr. Ariel (Isaac) Bentolila, an inventor in this application and I have been unsuccessful in obtaining his signature on the Declaration for this United States Patent Application.
- 5. Mr. Bentolila was an employee of MNI when he participated in the invention claimed by this U.S. patent application, but is not longer an employee of MNI.
- 6. While an employee of MNI, Mr. Bentolila had a duty to assign all rights, title and interest in his inventions to MNI. A true copy of Mr. Bentolila's "Employee Invention Assignment and Confidentiality Agreement with Metabyte Networks, Inc. is attached hereto as Exhibit A.
- 7. On April 1, 2002, I sent a letter to Mr. Bentolila regarding his request to obtain a further copy of the original United States Patent Application filed on behalf of Metabyte. This letter also included an Assignment and a Combined Declaration and Power of Attorney to be executed and sent to our office. This letter was sent via UPS on April 1, 2002. A true copy of the letter, UPS waybill and invoice is attached hereto as Exhibit B.

8. The letter was sent via UPS to Mr. Bentolila at his last known and believed current address:

> Isaac (Ariel) Bentolila 517 36th Avenue, Apt. 201 San Francisco, California 94121

9. The other joint inventors have executed a Declaration complying with 37 CFR 1.63 and 37 CFR 1.47(a). Therefore, Applicant hereby requests that the Patent Office accept the filing of a partially executed Declaration and Power of Attorney under 37 CFR 1.47(a) with no further delay in the examination and issuance of this patent application.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and submit this Statement on behalf of Metabye Networks, Inc.

Dated: 7/15/62

Sherman

stent Attorney for Metabyte

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post

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ess mail in an envelope addressed to

JUL 3 1 2002

OFFICE OF PETITIONS

EMPLOYEE INVENTION ASSIGNMENT AND CONFIDENTIALITY AGREEMENT

In consideration of, and as a condition of my employment with Metabyte Networks, Inc., a California corporation (the "Company"), I hereby represent to, and agree with the Company as follows:

- 1. Purpose of Agreement. Employee understands that the Company is engaged in a continuous program of research, development, production and marketing in connection with its business and that it is critical for the Company to preserve and protect its Proprietary Information (as defined below), its rights in Inventions and in all related intellectual property rights. Accordingly, I am entering into this Agreement as a condition of my employment with the Company, whether or not I am expected to create inventions of value for the Company.
- 2. Employment at Will. Employee understands and agrees that this Agreement does not constitute a contract of employment or obligate Company to employ Employee for any stated or fixed period of time. Employee understands and agrees that Employee's employment with Company is at will, and may be terminated by Company or Employee at any time and for any reason or for no reason, with or without cause.
- 3. <u>Disclosure of Inventions</u>. I will promptly disclose in confidence to the Company all inventions, improvements, designs, original works of authorship, formulas, processes, compositions of matter, computer software programs, databases, mask works and trade secrets ("Inventions") that I make or conceive or first reduce to practice or create, either alone or jointly with others, during the period of my employment, whether or not in the course of my employment, and whether or not such Inventions are patentable, copyrightable or protectible as trade secrets.

4. Reimbursement.

- a. Under this Agreement, Employee will be reimbursed for any expenses incurred provided that such reimbursement is authorized under Company's prevailing policies.
- b. Employee may participate in Company's employee benefit programs to the extent that the same are open to other employees in similar Company positions. Company reserves the right to delete, modify, or otherwise amend any of such programs and eligibility requirements for the same in its sole discretion.
- c. Authority. Employee understands and agrees that he or she has no authority, express or implied, to enter into any obligation or responsibility on Company's behalf without, in each instance, obtaining the prior written authority of an officer of Company to do so.
- Solutions. Solution of the Company will be considered the author and owner of such copyrightable works. I agree that all Inventions that (a) are developed using equipment, supplies, facilities or trade secrets of the Company, (b) result from work performed by me for the Company, or (c) relate to the Company's business or current or anticipated research and development, will be the sole and exclusive property of the Company and are hereby irrevocably assigned by me to the Company.

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6. <u>Labor Code 2870 Notice</u>. I have been notified and understand that the provisions of paragraphs 3 and 5 of this Agreement do not apply to any Invention that qualifies fully under the provisions of Section 2870 of the California Labor Code, which states as follows:

ANY PROVISION IN AN EMPLOYMENT AGREEMENT WHICH PROVIDES THAT AN EMPLOYEE SHALL ASSIGN, OR OFFER TO ASSIGN, ANY OF HIS OR HER RIGHTS IN AN INVENTION TO HIS OR HER EMPLOYER SHALL NOT APPLY TO AN INVENTION THAT THE EMPLOYEE DEVELOPED ENTIRELY ON HIS OR HER OWN TIME WITHOUT USING THE EMPLOYER'S EQUIPMENT, SUPPLIES, FACILITIES, OR TRADE SECRET INFORMATION EXCEPT FOR THOSE INVENTIONS THAT EITHER: (1) RELATE AT THE TIME OF CONCEPTION OR REDUCTION TO PRACTICE OF THE INVENTION TO THE EMPLOYER'S BUSINESS, OR ACTUALLY OR DEMONSTRABLY ANTICIPATED RESEARCH OR DEVELOPMENT OF THE EMPLOYER, OR (2) RESULT FROM ANY WORK PERFORMED BY THE EMPLOYEE FOR THE EMPLOYER. TO THE EXTENT A PROVISION IN AN EMPLOYMENT AGREEMENT PURPORTS TO REQUIRE AN EMPLOYEE TO ASSIGN AN INVENTION OTHERWISE EXCLUDED FROM BEING REQUIRED TO BE ASSIGNED UNDER CALIFORNIA LABOR CODE SECTION 2870(a), THE PROVISION IS AGAINST THE PUBLIC POLICY OF THIS STATE AND IN UNENFORCEABLE.

- Inventions to the Company, I hereby irrevocably transfer and assign to the Company: (a) all worldwide patents, patent applications, copyrights, mask works, trade secrets and other intellectual property rights in any Invention; and (b) any and all "Moral Rights" (as defined below) that I may have in or with respect to any Invention. I also hereby forever waive and agree never to assert any and all Moral Rights I may have in or with respect to any Invention, even after termination of my work on behalf of the Company. "Moral Rights" mean any rights to claim authorship of an Invention to object to or prevent the modification of any Invention, or to withdraw from circulation or control the publication or distribution of any Invention, and any similar right, existing under judicial or statutory law of any country in the world, or under any treaty, regardless of whether or not such right is denominated or generally referred to as a "moral right".
- 8. Assistance. I agree to assist the Company in every proper way to obtain for the Company and enforce patents, copyrights, mask work rights, trade secret rights and other legal protections for the Company's Inventions in any and all countries. I will execute any documents that the Company may reasonably request for use in obtaining or enforcing such patents, copyrights, mask work rights, trade secrets and other legal protections. My obligations under this paragraph will continue beyond the termination of my employment with the Company, provided that the Company will compensate me at a reasonable rate after such termination for time or expenses actually spent by me at the Company's request on such assistance. I appoint the Secretary of the Company as my attorney-in-fact to execute documents on my behalf for this purpose.
- 9. Proprietary Information. I understand that my employment by the Company creates a relationship of confidence and trust with respect to any information of a confidential or secret nature that may be disclosed to me by the Company that relates to the business of the Company or to the business of any parent, subsidiary, affiliate, customer or supplier of the Company or any other party with whom the Company agrees to hold information of such party in confident ("proprietary Information"). Such Proprietary Information includes but is not limited to Inventions, marketing plans, product plans, business strategies, financial information, forecasts, personnel information and customer lists.
- 10. <u>Confidentiality</u>. At all times, both during my employment and after its termination, I will keep and hold all such Proprietary Information in strict confidence and trust, and I will not use or disclose any of such Proprietary Information without the prior written consent of the Company, except as my be necessary to perform my duties as an employee of the Company for the

benefit of the Company. Upon termination of my employment with the Company, I will promptly deliver to the Company all documents and materials of any nature pertaining to my work with the Company and I will not take with me any documents or materials or copies thereof containing any Proprietary Information.

11. No Breach of Prior Agreement. I represent that my performance of all the terms of this Agreement and my duties as an employee of the Company will not breach any invention assignment, proprietary information or similar agreement with any former employer or other party. I represent that I will not bring with me to the Company or use in the performance of my duties for the Company any documents or materials of a former employer that are not generally available to the public or have not been legally transferred to the Company.

12 Other Agreements.

- a. I understand that my employment with the Company requires my undivided attention and effort. As a result, during my employment, I will not, without the Company's express written consent, engage in any employment or business other than for the Company, or invest in or assist in any manner any business which directly or indirectly competes with the business or future business plans of the Company.
- b. Employee agrees that information regarding all elements of personal compensation is considered by Company to be personal and confidential and is not to be disclosed to or discussed with any other Company employees with the exception of Employee's direct manager and/or officers of Company. Inappropriate disclosure of such information will be treated as a breach of Proprietary Information.
- c. Employee agrees to adhere to policies and procedures as defined by Company. Company reserves the right to delete, modify or otherwise amend any such policies and procedures in its sole discretion at any time without notice.
- d. Employee hereby authorizes Company to notify others, including but not limited customers of Company and Employee's future employers, of the terms of this Agreement and Employee's responsibilities hereunder.
- e. All facts concerning Employee's background, education, experience and employment history submitted to Company are true and correct.
- 13. Employee's Innovations. Employee may, upon submission of all relevant facts in writing, request a written statement from his or her manager which will serve to formally acknowledge that Company has no right, title or interest in Employees Innovations that (I) are developed entirely on Employee's own time, (ii) are developed using equipment, supplies, facilities or trade secrets not belonging to Company, (iii) result from work not performed by Employee for Company and (iv) relate at the time of conception or reduction to practice to no business or actual or demonstrably anticipated research or development of Company. In order to be operative and effective, such written waiver must be signed by Employee's manager and Company's legal counsel, if they each believe that such waiver is appropriate under the terms of this Agreement, and a copy will be placed in Employee's personnel file with an original copy to be retained by Employee.
- 14. Notification. I hereby authorize the Company to notify my actual or future employers of the terms of this Agreement and my responsibilities hereunder.
- 15. Non-Solicitation. During, and for a period of one (1) year after termination of, my employment with the Company, I will (a) not directly or indirectly solicit or take away suppliers, customers, employees, consultants or clients of the Company for my own benefit or for the benefit of

any other party, and (b) notify Company in the event I become an employee of any client of the Company.

- 16. <u>Certain Obligations Upon Termination of Employment</u>. On termination of this Agreement by either Company or Employee, Employee will promptly deliver to Company any and all computer hardware, documents, diskettes, materials, and other property of any kind pertaining to his or her employment, including without limitation any Proprietary Information and/or any Innovations. Employee will not make or retain any copies or abstracts of any of the above materials.
- 17. Name and Likeness Rights, Etc. I hereby authorize the Company to use, reuse, and to grant others the right to use and reuse, my name, photograph, likeness (including caricature), voice, and biographical information, and any reproduction or simulation thereof, in any media now known or hereafter developed (including but not limited to film, video and digital or other electronic media), both during and after my employment, for whatever purposes the Company deems necessary.
- 18. <u>Injunctive Relief</u>. I understand that in the event of a breach or threatened breach of this Agreement by me the Company may suffer irreparable harm and will therefore be entitled to injunctive relief to enforce this Agreement.
- 19. Governing Law: Severability. This Agreement will be governed and interpreted in accordance with the internal laws of the State of California, without regard to or application of choice of law, rules or principles. In the event that any provision of this Agreement is found by a court, arbitrator or other tribunal to be illegal, invalid or unenforceable, then such provision shall not be voided, but shall be enforced to the maximum extent permissible under applicable law, and the remainder of this Agreement shall remain in full force and effect.

21. Miscellaneous.

- a. This Agreement states the entire agreement of the parties and supersedes all prior agreements, communications and understandings relating to the subject matter hereof. Neither party has entered into this Agreement by reason of or in reliance on any representations of fact or opinion which are not fully stated herein.
- b. No waiver, amendment, or modification of any provision of this Agreement will be effective unless in writing and signed by the party against whom its enforcement is sought. No failure or delay by either party in exercising any right or remedy under this Agreement will operate as a waiver.

Metabyte Networks, Inc.	Employee:
By: Mour Melite	Signature Signature
Name: Manu Mahta	Isaac Bentol. 16 Name (Please print)
Title: President	

LAW OFFICES OF

SHERMAN & SHERMAN

A PROFESSIONAL CORPORATION

ONE CENTURY PLAZA

2029 CENTURY PARK EAST

SEVENTEENTH FLOOR

LOS ANGELES, CALIFORNIA 90067

TELEPHONE (310) 789-3200

FACSIMILE (310) 789-3210

April 1, 2002

VIA UPS

Isaac (Ariel) Bentolila 517 36th Avenue, Apt. 201 San Francisco, California 94121

Re:

U.S. Patent Application for:

"SYSTEM, METHOD, AND SOFTWARE APPLICATION FOR

TARGETED ADVERTISING VIA BEHAVIORAL MODEL CLUSTERING,

AND PREFERENCE . . . Serial No.: 10/043,714 Filed: January 9, 2002

Our Reference No.: MET1.00025

Dear Mr. Bentolila:

Per your request, enclosed please find a copy of the above-referenced application as filed with the United States Patent and Trademark Office on January 9, 2002.

Please review this application and have the attached Assignment for Patent Application and Combined Declaration and Power of Attorney executed and returned to our offices.

If you have any questions or comments, please do not hesitate to contact us.

Sincerely yours,

SHERMAN SHERMA

meth L. Sherman

/eem

Enclosures

cc:

Carmen M. Garrett

Sandy Ettinger

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A PROFESSIONAL CORPORATION
ONE CENTURY PLAZA
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SEVENTEENTH FLOOR
LOS ANGELES. CALIFORNIA 90067
TELEPHONE (310) 789-3210

DOCKET NO. MET1.0025

Appln. Serial No.

Filing Date

Status (patented, pending, abandoned)

PATENT APPLICATION

COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor of an invention titled SYSTEM, METHOD, AND SOFTWARE APPLICATION FOR TARGETED ADVERTISING VIA BEHAVIORAL MODEL CLUSTERING, AND PREFERENCE PROGRAMMING BASED ON BEHAVIORAL MODEL CLUSTER, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

METHOD, AND S	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled SYSTEM OFTWARE APPLICATION FOR TARGETED ADVERTISING VIA BEHAVIORAL MODEL CLUSTERING, AND OGRAMMING BASED ON BEHAVIORAL MODEL CLUSTER the specification of which (check one)
	is attached hereto X was filed on January 9, 2002 Application Serial No. 10/043,714 and was amended on (or amended through) (if applicable
as amended by any a	I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims unendment(s) referred to above.
Title 37, Code of Fe	I acknowledge the duty to disclose information which is material to the examination of this application in accordance with deral Regulations, Sec. 1.56(a).
	I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for paten ate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing data plication on which priority is claimed:
Prior Foreign Applie	cation(s) Priority Claimed
Number Country	Day/Month/Year Filed Yes No
	I hereby claim priority benefits under Title 35, United States Code Sec. 119(e) of the below listed United States provisional
application(s):	
60/260,745	January 9, 2001
Appln. Serial No.	Filing Date
by the first paragrap	I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed below and it matter of each of the claims of this application is not disclosed in the prior United States application in the manner provide the of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose material information as defined in Title 37 gulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing ion.

SHERMAN & SHERMAN

ATTORNEYS AT LAW DOCKET NO. MET1.0025

PATENT APPLICATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I/(We) hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: KENNETH L. SHERMAN, Registration No. 33,783; MICHAEL ZARRABIAN, Registration No. 39,886; and MILORD KESHISHZADEH, Registration No. 43,333 of

SHERMAN & SHERMAN, A.P.C. 2029 Century Park East Seventeenth Floor Los Angeles, California 90067 Tel: (310) 789-3200

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and all future telephone calls and correspondence should be directed and/or addressed to Kenneth L. Sherman, Sherman & Sherman. Full name of sole or first inventor: Isaac Bentolila Inventor's signature: Residence: 517 36th Avenue. Apt. 201. San Francisco, California 94121 Citizenship: Israel Post Office Address: Same as above Full name of sole or second inventor: Yiming Zhou Inventor's signature: Date: Residence: 4800 La Habra St., Union City, California 94587 Citizenship: British Post Office Address: Same as above Full name of sole or third inventor: Labeeb Ismail Inventor's signature: Date: Residence: 1369 Hvde Street #35, San Francisco, California 94109 Citizenship: Indian Post Office Address: Same as above Full name of sole or fourth inventor: Richard Humpleman Inventor's signature: Date: Residence: 343 Lower Vintners Circle. Fremont. California 94539 Citizenship: British Post Office Address: Same as above **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on (Type or print name of person mailing paper) (Signature of person mailing paper)

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Docket No. MET1.0025

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

ISAAC BENTOLILA, 517 36th Avenue, Apt. 201, San Francisco, CALIFORNIA 94121

YIMING ZHOU, 4800 LA HABRA ST., UNION CITY, CALIFORNIA 94587

LABEEB ISMAIL, 1369 HYDE STREET #35, SAN FRANCISCO, CALIFORNIA 94109

RICHARD HUMPLEMAN, 343 LOWER VINTNERS CIRCLE, FREMONT, CALIFORNIA 94539

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

SYSTEM, METHOD, AND SOFTWARE APPLICATION FOR
TARGETED ADVERTISING VIA BEHAVIORAL MODEL CLUSTERING, AND
PREFERENCE PROGRAMMING BASED ON BEHAVIORAL MODEL CLUSTER
(title of discovery or invention)

- [] for which application for Letters Patent of the United States has been executed on even date herewith,
- [X] for which application for Letters Patent of the United States has been filed on <u>January 9, 2002</u>, under Serial No. <u>10/043,714</u>, and

WHEREAS:

METABYTE NETWORKS, INC. 39350 CIVIC CENTER DRIVE, SUITE 100, FREMONT, CALIFORNIA 94538 (name and address of assignee)

(hereinafter referred to as ASSIGNEE)), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of ____One__ Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable

Docket No. MET1.0025

consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or

reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

- I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to an under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.
- I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;
- AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives,

Docket No. MET1.0025

or assigns, I will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature:		·
Dated:	ISAAC BENTOLILA	

******	*******	********
Assignor's signature:	YIMING ZHOU	
Dated:		
Citizenship:		
******	*******	*******
Assignor's signature:	LABEEB ISMAIL	
Dated:		
Citizenship:	*******	********
Assignor's signature:	RICHARD HUMPLEMAN	
Dated:		
Citizenship:		· · · · · · · · · · · · · · · · · · ·
I hereby certify that this correspondence is being the: Assistant Commissioner for Patents, Wash	CERTIFICATE OF MAILING on deposited with the United States Postal States	Service first class mail in an envelope addressed to

(Signature of person mailing paper)

(Type or print name of person mailing paper)

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Delivery Sèrvice Invoice Invoice date April 6, 2002

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Page 3 of 3

Outbound

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LOS ANGELES CA 90067-2901

EXTREMELY URGENT DELIVERY TO

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OFFICE OF PETITIONS

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bentolila, et al.)
)
For: SYSTEM, METHOD, AND SOFTWARE)
APPLICATION FOR TARGETED)
ADVERTISING VIA BEHAVIORAL)
MODEL CLUSTERING, AND)
PREFERENCE PROGRAMMING BASED)
ON BEHAVIORAL MODEL CLUSTER)
)
Application No.: 10/043,714)
••) July 15, 2002
Filed: January 9, 2002)
) Los Angeles, California 90067

DECLARATION OF CARMEN GARRETT AND STATEMENT OF FACTS IN SUPPORT OF FILING ON BEHALF OF NON-SIGNING INVENTOR UNDER 37 CFR 1.47(a)

Assistant Commissioner for Patents Washington, D.C. 20231

- I, Carmen Garrett hereby declare that the following is true and correct, and if called upon could and would testify to the following:
 - 1. I am a resident in the State of California.
- 2. I am the Contract Coordinator and Executive Assistant at Metabyte Networks, Inc. (hereinafter "MNI"), the owner by agreement of all rights, title and interest to the above-referenced United States Patent Application.

- 3. MNI is a Delaware Corporation, licensed to do business in the State of California and having its principal place of business at 39350 Civic Center Drive, Suite 200, Fremont, California 94538.
- 4. On behalf of MNI, I communicated on several occasions with Mr. Ariel (Isaac)
 Bentolila, an inventor in this application and I have been unsuccessful in obtaining his signature on the Declaration for this United States Patent Application.
- 5. Mr. Bentolila was an employee of MNI when he participated in the invention claimed by this U.S. patent application, but is not longer an employee of MNI.
- 6. While an employee of MNI, Mr. Bentolila had a duty to assign all rights, title and interest in his inventions to MNI. A true copy of Mr. Bentolila's "Employee Invention Assignment and Confidentiality Agreement with Metabyte Networks, Inc. is attached hereto as Exhibit A.
- 7. On March 29, 2002, I forwarded a federal express package to Mr. Bentolila containing copies of the Declaration and Power of Attorney and Assignment for his review and execution. A copy of the Federal Express Invoice and the Detailed Results slip is attached as Exhibit B.
- 8. Since that date, I have telephonically communicated with Mr. Bentolila several times. His telephone number is (415) 876-0735. While Mr. Bentolila had previously advised me G:\KLS\MET1\MET1.0025\CarmenDec.712

that he will execute the documents, he did not wish to be burdened with any set time frame for deadlines. At this time, he refuses to execute the Declaration.

9. The other joint inventors have executed a Declaration complying with 37 CFR 1.63 and 37 CFR 1.47(a). Therefore, Applicant hereby requests that the Patent Office accept the filing of a partially executed Declaration and Power of Attorney under 37 CFR 1.47(a) with no further delay in the examination and issuance of this patent application.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and submit this Statement on behalf of Metabye Networks, Inc.

Dated: 7/15/02

Carmen M. Garrett

Contract Coordinator and Executive Assistant Metabyte Networks, Inc.

I haveby certify that this correspondence is being deposited with the United State Contract first close mail in an envelope addressed to

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METABYTE NETWORKS, INC.



EMPLOYEE INVENTION ASSIGNMENT AND CONFIDENTIALITY AGREEMENT

In consideration of, and as a condition of my employment with Metabyte Networks, Inc., a California corporation (the "Company"), I hereby represent to, and agree with the Company as follows:

- 1. Purpose of Agreement. Employee understands that the Company is engaged in a continuous program of research, development, production and marketing in connection with its business and that it is critical for the Company to preserve and protect its Proprietary Information (as defined below), its rights in Inventions and in all related intellectual property rights. Accordingly, I am entering into this Agreement as a condition of my employment with the Company, whether or not I am expected to create inventions of value for the Company.
- 2. <u>Employment at Will</u>. Employee understands and agrees that this Agreement does not constitute a contract of employment or obligate Company to employ Employee for any stated or fixed period of time. Employee understands and agrees that Employee's employment with Company is at will, and may be terminated by Company or Employee at any time and for any reason or for no reason, with or without cause.
- 3. <u>Disclosure of Inventions</u>. I will promptly disclose in confidence to the Company all inventions, improvements, designs, original works of authorship, formulas, processes, compositions of matter, computer software programs, databases, mask works and trade secrets ("Inventions") that I make or conceive or first reduce to practice or create, either alone or jointly with others, during the period of my employment, whether or not in the course of my employment, and whether or not such Inventions are patentable, copyrightable or protectible as trade secrets.

4. Reimbursement.

- a. Under this Agreement, Employee will be reimbursed for any expenses incurred provided that such reimbursement is authorized under Company's prevailing policies.
- b. Employee may participate in Company's employee benefit programs to the extent that the same are open to other employees in similar Company positions. Company reserves the right to delete, modify, or otherwise amend any of such programs and eligibility requirements for the same in its sole discretion.
- c. Authority. Employee understands and agrees that he or she has no authority, express or implied, to enter into any obligation or responsibility on Company's behalf without, in each instance, obtaining the prior written authority of an officer of Company to do so.
- 5. Work for Hire: Assignment of Inventions. I acknowledge and agree that any copyrightable works prepared by me within the scope of my employment are "works for hire" under the Copyright Act and that the Company will be considered the author and owner of such copyrightable works. I agree that all Inventions that (a) are developed using equipment, supplies, facilities or trade secrets of the Company, (b) result from work performed by me for the Company, or (c) relate to the Company's business or current or anticipated research and development, will be the sole and exclusive property of the Company and are hereby irrevocably assigned by me to the Company.

6. <u>Labor Code 2870 Notice</u>. I have been notified and understand that the provisions of paragraphs 3 and 5 of this Agreement do not apply to any Invention that qualifies fully under the provisions of Section 2870 of the California Labor Code, which states as follows:

ANY PROVISION IN AN EMPLOYMENT AGREEMENT WHICH PROVIDES THAT AN EMPLOYEE SHALL ASSIGN, OR OFFER TO ASSIGN, ANY OF HIS OR HER RIGHTS IN AN INVENTION TO HIS OR HER EMPLOYER SHALL NOT APPLY TO AN INVENTION THAT THE EMPLOYEE DEVELOPED ENTIRELY ON HIS OR HER OWN TIME WITHOUT USING THE EMPLOYER'S EQUIPMENT, SUPPLIES, FACILITIES, OR TRADE SECRET INFORMATION EXCEPT FOR THOSE INVENTIONS THAT EITHER: (1) RELATE AT THE TIME OF CONCEPTION OR REDUCTION TO PRACTICE OF THE INVENTION TO THE EMPLOYER'S BUSINESS, OR ACTUALLY OR DEMONSTRABLY ANTICIPATED RESEARCH OR DEVELOPMENT OF THE EMPLOYER, OR (2) RESULT FROM ANY WORK PERFORMED BY THE EMPLOYEE FOR THE EMPLOYER. TO THE EXTENT A PROVISION IN AN EMPLOYMENT AGREEMENT PURPORTS TO REQUIRE AN EMPLOYEE TO ASSIGN AN INVENTION OTHERWISE EXCLUDED FROM BEING REQUIRED TO BE ASSIGNED UNDER CALIFORNIA LABOR CODE SECTION 2870(a), THE PROVISION IS AGAINST THE PUBLIC POLICY OF THIS STATE AND IN UNENFORCEABLE.

- Assignment of Other Rights. In addition to the foregoing assignment of Inventions to the Company, I hereby irrevocably transfer and assign to the Company: (a) all worldwide patents, patent applications, copyrights, mask works, trade secrets and other intellectual property rights in any Invention; and (b) any and all "Moral Rights" (as defined below) that I may have in or with respect to any Invention. I also hereby forever waive and agree never to assert any and all Moral Rights I may have in or with respect to any Invention, even after termination of my work on behalf of the Company. "Moral Rights" mean any rights to claim authorship of an Invention to object to or prevent the modification of any Invention, or to withdraw from circulation or control the publication or distribution of any Invention, and any similar right, existing under judicial or statutory law of any country in the world, or under any treaty, regardless of whether or not such right is denominated or generally referred to as a "moral right".
- 8. Assistance. I agree to assist the Company in every proper way to obtain for the Company and enforce patents, copyrights, mask work rights, trade secret rights and other legal protections for the Company's Inventions in any and all countries. I will execute any documents that the Company may reasonably request for use in obtaining or enforcing such patents, copyrights, mask work rights, trade secrets and other legal protections. My obligations under this paragraph will continue beyond the termination of my employment with the Company, provided that the Company will compensate me at a reasonable rate after such termination for time or expenses actually spent by me at the Company's request on such assistance. I appoint the Secretary of the Company as my attorney-in-fact to execute documents on my behalf for this purpose.
- 9. Proprietary Information. I understand that my employment by the Company creates a relationship of confidence and trust with respect to any information of a confidential or secret nature that may be disclosed to me by the Company that relates to the business of the Company or to the business of any parent, subsidiary, affiliate, customer or supplier of the Company or any other party with whom the Company agrees to hold information of such party in confident ("proprietary Information"). Such Proprietary Information includes but is not limited to Inventions, marketing plans, product plans, business strategies, financial information, forecasts, personnel information and customer lists.
- 10. <u>Confidentiality</u>. At all times, both during my employment and after its termination, I will keep and hold all such Proprietary Information in strict confidence and trust, and I will not use or disclose any of such Proprietary Information without the prior written consent of the Company, except as my be necessary to perform my duties as an employee of the Company for the

benefit of the Company. Upon termination of my employment with the Company, I will promptly deliver to the Company all documents and materials of any nature pertaining to my work with the Company and I will not take with me any documents or materials or copies thereof containing any Proprietary Information.

11. No Breach of Prior Agreement. I represent that my performance of all the terms of this Agreement and my duties as an employee of the Company will not breach any invention assignment, proprietary information or similar agreement with any former employer or other party. I represent that I will not bring with me to the Company or use in the performance of my duties for the Company any documents or materials of a former employer that are not generally available to the public or have not been legally transferred to the Company.

12 Other Agreements.

- a. I understand that my employment with the Company requires my undivided attention and effort. As a result, during my employment, I will not, without the Company's express written consent, engage in any employment or business other than for the Company, or invest in or assist in any manner any business which directly or indirectly competes with the business or future business plans of the Company.
- b. Employee agrees that information regarding all elements of personal compensation is considered by Company to be personal and confidential and is not to be disclosed to or discussed with any other Company employees with the exception of Employee's direct manager and/or officers of Company. Inappropriate disclosure of such information will be treated as a breach of Proprietary Information.
- c. Employee agrees to adhere to policies and procedures as defined by Company. Company reserves the right to delete, modify or otherwise amend any such policies and procedures in its sole discretion at any time without notice.
- d. Employee hereby authorizes Company to notify others, including but not limited customers of Company and Employee's future employers, of the terms of this Agreement and Employee's responsibilities hereunder.
- e. All facts concerning Employee's background, education, experience and employment history submitted to Company are true and correct.
- 13. Employee's Innovations. Employee may, upon submission of all relevant facts in writing, request a written statement from his or her manager which will serve to formally acknowledge that Company has no right, title or interest in Employees Innovations that (I) are developed entirely on Employee's own time, (ii) are developed using equipment, supplies, facilities or trade secrets not belonging to Company, (iii) result from work not performed by Employee for Company and (iv) relate at the time of conception or reduction to practice to no business or actual or demonstrably anticipated research or development of Company. In order to be operative and effective, such written waiver must be signed by Employee's manager and Company's legal counsel, if they each believe that such waiver is appropriate under the terms of this Agreement, and a copy will be placed in Employee's personnel file with an original copy to be retained by Employee.
- 14. Notification. I hereby authorize the Company to notify my actual or future employers of the terms of this Agreement and my responsibilities hereunder.
- 15. Non-Solicitation. During, and for a period of one (1) year after termination of, my employment with the Company, I will (a) not directly or indirectly solicit or take away suppliers, customers, employees, consultants or clients of the Company for my own benefit or for the benefit of

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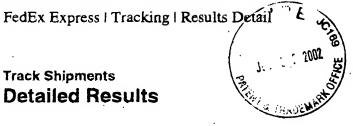
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Tracking Options

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